

<b>FORM PTO/SB/08A/B (10-01)</b> Substitute for PTO-1449A/B  <b>INFORMATION DISCLOSURE</b>  <b>STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	<b>Attorney Docket Number</b>	50420/DBP/T360
	<b>Application Number</b>	<del>N/A</del> 10/618,114
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	<b>Applicant(s)</b>	Hidenori Takahashi, et al.
	<b>Group Art Unit</b>	<del>N/A</del> 2874
	<b>Examiner Name</b>	<del>N/A</del> Phan Palmer

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
PH		6,289,151 B1	09-11-2001	Kazarinov, et al.

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
PH		HORST, ET AL., "Tunable Ring Resonator Dispersion Compensators Realized in High-Refractive-Index Contrast SiON Technology", 26 <sup>th</sup> European Conference on Optical Communication, Post Deadline Papers: Topic 2, September 3-7, 2000, 4 pages, Munich Germany
PH		SUZUKI, ET AL., "Ring resonators using hybrid stacked waveguides", The Institute of Electronics, Information and Communication Engineers, Autumn Conference, c-234, 1992, 3 pages

EXAMINER SIGNATURE	Phan T. H. Palmer	DATE CONSIDERED	01/20/05
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

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